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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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APR 01 2002

TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/887,202	
	Filing Date	June 21, 2001	
	First Named Inventor	Thomas M. Pratt	
	Group Art Unit	not yet known	
	Examiner Name	not yet known	
Total Number of Pages in This Submission	23	Attorney Docket Number	10001.001100 (NVLS 432)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> A copy of the Notice to File Missing Parts <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53b	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Corrected Drawing(s) (Figs. 1 - 7) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney <input checked="" type="checkbox"/> An original, signed Declaration <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Letter to the Official Draftsperson; Return receipt postcard
Remarks		

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Patrick D. Benedicto, Reg. No. 40,909 DEGUZMAN OKAMOTO & BENEDICTO, LLP
Signature	<i>Patrick Benedicto</i>
Date	November 8, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11/08/01			
Typed or printed name	Patrick D. Benedicto		
Signature	<i>Patrick Benedicto</i>	Date	Nov. 8, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

PTO/ISB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032
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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$): 240.00

Application Number	09/987,202
Filing Date	June 21, 2001
First Named Inventor	Thomas M. Pratt
Examiner Name	not yet known
Group / Art Unit	not yet known
Attorney Docket No.	10001.001100 (NVL S 432)

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number

50-2030

Deposit
Account
Name

deGuzman Okamoto & Benedicto, LLP

- ☒ Charge Any Additional Fee Required
Under 37 CFR 1.18 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit card ☐ Money
Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1)

(\$0)

2. EXTRA CLAIM FEES

Total Claims		20	=	0	X	18	=	0
Independent Claims		3	=	0	X	24	=	0
Multiple Dependent			X		=		=	0

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$0)

**or number previously paid, if greater; For Reissues, see above.

FEE CALCULATION (continued)

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	110
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Sheet	
501	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$240)

SUBMITTED BY

Name (Print/Type)	Polrick D. Benedicto	Registration No. Attorney/Agent	40,909	Telephone	650-691-2030
Signature	Patrick Benedicto			Date	November 8, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231.

Received from <16506912032> at 4/1/02 12:58:07 PM [Eastern Standard Time]

END TO: Assistant Commissioner for Patents, Washington, DC 20231.

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Thomas M. Pratt et al.	Examiner: unknown
Serial No.: 09/887,202	Art Unit: unknown
Filed: 06/21/2001	Atty. Docket No.: 10001.001100 (NVLS 432)
Title: Magnetically Coupled Linear Servo-Drive Mechanism	

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

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RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Sir:

In response to the Notice to File Missing Parts of
Nonprovisional Application dated 08/15/2001 for the above-
identified patent application,

☒ Enclosed are the following:

☒ A copy of the Notice to File Missing Parts of
Nonprovisional Application;

☒ Power of Attorney;

☒ An original, signed Declaration;

☒ Check in the amount of \$240 to cover payment
for the surcharge (\$130) and payment for a one month
extension for response (\$110);

☒ Corrected Drawings;

☒ Letter to Official draftsman;

PATENT

☐ Applicant claims small entity status under 37 C.F.R. section 1.27.

Applicants hereby petition for a one-month extension of time within which to respond to the Notice To File Missing Parts Of Nonprovisional Application mailed on 08/15/2001. As noted above, the enclosed check includes payment for a one month extension of time. If any additional fees are required, the Commissioner is hereby authorized to charge Deposit Account No. 50-2030.

If the Examiner has any questions or needs additional information, the Examiner is invited to contact the undersigned attorney at (650) 691-2030.

Dated: 11-08-2001

Respectfully submitted,
Thomas M. Pratt et al.

By: Patrick D. Benedicto
Patrick D. Benedicto
Attorney For Applicants
Reg. No. 40,909
DEGUZMAN OKAMOTO & BENEDICTO LLP
P.O. Box 51900
Palo Alto, California 94303
(650) 691-2030
(650) 691-2032 (FAX)

Enclosure(s)

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified herein, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:	<u>Patrick D. Benedicto</u>		
Typed or Printed Name:	Patrick D. Benedicto	Dated:	11/08/2001
Express Mail Mailing Number (optional):			

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: Thomas M. Pratt et al.	Examiner: unknown
Serial No.: 09/887,202	Art Unit: unknown
Filed: 06/21/2001	Atty. Docket No.: 10001.001100 (NVLS 432)
Title: Magnetically Coupled Linear Servo- Drive Mechanism	

Commissioner for Patents
Washington, D.C. 20231

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LETTER TO THE OFFICIAL DRAFTSPERSON
(Request To Substitute Drawings)

Sir:

Subject to the approval of the Primary Examiner in the above-entitled patent application, please substitute the enclosed 7 sheets of drawings, containing Figures 1, 2, 3, 4, 5, 6, and 7, for the 7 sheets of informal drawings containing Figures 1, 2, 3, 4, 5, 6, and 7 originally filed.

REMARKS

Applicants respectfully submit that the substitute drawings are consistent with the corresponding material in the specification and the originally filed drawings, and do not add any new matter to the application.

Should the Examiner have any questions concerning this request, the Examiner is invited to call the undersigned attorney at the number shown below.

Favorable action is respectfully solicited.

In re Pratt et al.

1

US Appl. No. 09/887,202

PATENT

Dated: 11/08/01

Respectfully submitted,

Thomas M. Pratt et al.

By: Patrick D. Benedicto

Patrick D. Benedicto

Attorney For Applicants

Reg. No. 40,909

DEGUZMAN OKAMOTO & BENEDICTO LLP

P.O. Box 51900

Palo Alto, California 94303

(650) 691-2030

(650) 691-2032 (FAX)

Enclosure(s)

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Signature:	<u>Patrick D. Benedicto</u>		
Typed or Printed Name:	Patrick D. Benedicto	Dated:	11/08/2001
Express Mail Mailing Number (optional):			



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APR 01 2002

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/887,202	06/21/2001	Thomas M. Pratt	S0544.00010 NVLS 432

CONFIRMATION NO. 9203

FORMALITIES LETTER

QC000000005429733

Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304

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01/16/02

Date Mailed: 08/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

DATES ENTERED

A copy of this notice **MUST** be returned with the reply.

UWM
Customer Service Center
Initial Patent Examination Division (703) 308-1202

AUG 23 2001

CALENDAR

BY
ATTORNEY
SQUIRE, SANDERS & DEMPSEY

